

FCRA form FC-6

[See Rule 17(1)]

The Secretary to the Government of India
Ministry of Home Affairs
FCRA Wing / Foreigners Division
"NDCC-II Building"
Jai Singh Road, Off Parliament Street
New Delhi - 110001

Subject : Account of Foreign Contribution of the year ending on 31st March 2013

1. Association's details

(i) Name and address (in block letters):

CENTER FOR STUDY OF SCIENCE, TECHNOLOGY AND POLICY
DR RAJA RAMANNA COMPLEX, RAJ BHAVAN CIRCLE, HIGH GROUNDS
BANGALORE, KARNATAKA 560 001

(ii) Registration No. & Date [under FC(R) Act 2010] (42 of 2010) : 094421321 - Date 09.07.2009

(iii) Prior Permission number and date, if (ii) above is not applicable : NA

(iv) Nature of Association: (a) ~~Cultural~~ (b) ~~Economic~~

(c) Educational

(d) ~~Religious~~

(e) ~~Social~~

(v) Denomination in case of religious Association: N. A.

(a) Hindu

(b) Sikh

(c) Muslim

(d) Christian

(e) Buddhist

(f) Others

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(i) Total amount of foreign contribution received during the financial year Rs. 7,30,15,327/-

(ii) Interest earned on the foreign contribution during the year:

(a) In the designated bank account: Rs. 2,61,837/-

(b) On investments made (Fixed Deposit Receipt etc.) during the year or in the preceding years: Rs. 2,52,278/-



3. Purpose(s) for which foreign contribution has been received and utilised:

Sl. No.	Purpose	Previous Balance		Receipt during the year				Total (5+6+7+8)	Utilised Balance		Balance		Places with address of Specific activities
		In cash (value)	In kind	As first recipient		As 2nd / subsequent recipient			In cash (value)	In kind	In cash (value)	In kind	
				In cash (value)	In kind	In cash (value)	In kind						
1	2	3	4	5	6	7	8	9	10	11	12	13	14
5	Research.	72,57,149	NIL	7,35,29,442	NIL	NIL	NIL	8,07,86,591	6,16,21,130	NIL	1,91,65,461	NIL	(Same as Assn Address)
55	Establishment expenses, - (i) Asset building: (a) Establishment of Corpus Fund, and (b) Purchase of land; (ii) Construction / Extension / Maintenance of office, administrative and other buildings; (iii) Payment of salaries / honorarium; (iv) Publication of newsletter / literature / books etc (v) Other expenses. Activities other than those mentioned above (Furnish details).	NIL	NIL	NIL	NIL	31,46,378	NIL	31,46,378	NIL	NIL	NIL	NIL	Dr Raja Ramanna Complex, Raj Bhavan, High Grounds, Bangalore 560001, Karnataka State
	TOTAL ...	72,57,149	-	7,35,29,442	-	6,16,21,130	-	6,16,21,130	1,91,65,461	-	1,91,65,461	-	

CAUTION:- Submission of false information or concealment of material facts shall attract the relevant provisions of the Foreign Contribution (Regulation) Act, 2010 (42 of 2010) warranting appropriate action.



4. Name & address of the designated branch of the Bank and account number (as specified in the application for registration/ prior permission or permitted by the Central Government)

A/c No.035200116018729
Bank: CORPORATION BANK
Branch: SARAKKI LAYOUT BRANCH,
Address: 57, 1st Main, J.P. Nagar, 3rd Phase,
BANGALORE - PIN 560 078

5 Donor-wise Receipts of foreign contribution
(in Rupees)

Sl. No.	Institutional / Individual / Other Donors	Name(s) and address(es)	Purpose(s)	Date and month of receipt	Amount
1	2	3	4	5	6.00
	(i) Institutional Donors	01 OAK FOUNDATION Oak Philanthropy Ltd, Case Postale 115 58, Avenue Louis Casai, 1216 Cointrin Geneva, Switzerland	CORE Grant	20.04.2012	1,25,60,000.00
		02 CLIMATE WORKS FOUNDATION 235, Montgomery St, Suite 1300, San Francisco, CA - 94104	Revitalising Wind Energy Potential	18.07.2012	51,30,401.00
		03 CLIMATE WORKS FOUNDATION 235, Montgomery St, Suite 1300, San Francisco, CA - 94104	Study of Energy Efficiency in Indian Iron & Steel Industry	18.07.2012	39,16,148.00
		04 INTERANATIONAL DEVELOPMENT RESEARCH CENTRE PO Box / DP 8500, Ottawa ON, Canada, K1G 3H9	CORE Grant	16.08.2012	1,93,691.20
		05 NEXT GENERATION INFRASTRUCTURE FOUNDATION Jafflaan 5, 2628 BX, Delft	Project Grant	21.09.2012	3,07,283.00
		06 INTERANATIONAL DEVELOPMENT RESEARCH CENTRE PO Box / DP 8500, Ottawa ON, Canada, K1G 3H9	CORE Grant	27.09.2012	1,00,51,152.82
		07 CLIMATE WORKS FOUNDATION USA thru Shakti Sustainable Energy Foundation Capital Court, 104 B/2, 4th Floor Munirka Phase -III, New Delhi 110067	Defining Contours of a National Wind Mission	21.11.2012	18,55,000.00
		08 CLIMATE WORKS FOUNDATION USA thru Shakti Sustainable Energy Foundation Capital Court, 104 B/2, 4th Floor Munirka Phase -III, New Delhi 110067	Value Chain Analysis of Wind and Solar Industry in India	21.11.2012	18,00,000.00
		09 CLIMATE WORKS FOUNDATION USA thru Shakti Sustainable Energy Foundation Capital Court, 104 B/2, 4th Floor Munirka Phase -III, New Delhi 110067	Deepening in PAT Scheme	22.11.2012	34,95,000.00



Sl. No.	Institutional / Individual / Other Donors	Name(s) and address(es)	Purpose(s)	Date and month of receipt	Amount
1	2	3	4	5	6.00
	10	NEXT GENERATION INFRASTRUCTURE FOUNDATION Jafflaan 5, 2628 BX, Delft	Reimbursement of Expenditure	30.11.2012	1,12,940.00
	11	CLIMATE WORKS FOUNDATION 235, Montgomery St, Suite 1300, San Francisco, CA - 94104	Study of Energy Efficiency in Indian Iron & Steel Industry	05.12.2012	46,74,440.00
	12	International Risk Governance Council (IRGC) EPFL - CM1-517, Case Postale 99 CH-1015 Lausanne - Switzerland	Reimbursement of Expenditure	20.12.2012	80,014.20
	13	INTERNATIONAL DEVELOPMENT RESEARCH CENTRE PO Box / DP 8500, Ottawa ON, Canada, K1G 3H9	Building Communication Skills	24.12.2012	12,82,941.50
	14	Global Green Growth Institute 19F Jeongdong Bldg., 15-5 Jeong-dong Jung-gu Seoul 100-784 Republic of Korea	Development of Green Growth Plan for Karnataka	02.01.2013	1,78,16,786.00
	15	International Risk Governance Council (IRGC) EPFL - CM1-517, Case Postale 99 CH-1015 Lausanne - Switzerland	Reimbursement of Expenditure	18.02.2013	55,038.20
	16	INTERNATIONAL DEVELOPMENT RESEARCH CENTRE PO Box / DP 8500, Ottawa ON, Canada, K1G 3H9	CORE Grant	28.02.2013	96,84,490.60
	(ii) from Individual donor(s) above Rupees One lac:	NIL		NIL	NIL
	(iii) from Individual donor(s) below Rupees One lac:	NIL		NIL	NIL
			TOTAL [(i)+(ii)+(iii)]:		7,30,15,326.52



DECLARATION

I hereby declare that the above particulars furnished by me are true and correct. I also affirm that the foreign contribution has been utilised for the purpose(s) for which the Association has been granted registration or prior permission by Central Government. to the best of my knowledge, I have not concealed or suppressed any fact.

Place: Bangalore
Date: 27.12.2013



Signature of the Chief Functionary
(Name of the Chief Functionary and seal of the Association)

Dr. Anshu Bharadwaj

Director

Center for Study of Science Technology and Policy
CAIR Building, Raj Bhavan Circle, High Grounds

BANGALORE-560 001

Certificate To Be Given By Chartered Accountant
We have audited the accounts of CENTRE FOR STUDY OF SCIENCE TECHNOLOGY AND POLICY, Dr Raja Ramanna Complex, Raj Bhavan Circle, Bangalore - 560 001, Karnataka ,India. FCRA Reg No: 094421321 (name of Association and its full address including state, District and pin code, if registered society, its registration number and State of Registration), for the year Ending 31st March 2013 and examined all relevant books and vouchers and certify that according to the audited accounts :-

- (i) The brought forward foreign contribution at the beginning of the year 2012-2013 was Rs. 72,57,149/-
- (ii) Foreign contribution of / worth Rs. 7,30,15,327/- was received by the Association during the year 2012-2013 .
- (iii) The balance of unutilised foreign contribution with the Association at the end of the year 31st March 2013 was Rs 1,91,65,461/-.
- (iv) Certified that the Association has maintained the accounts of foreign contribution and records relating thereto in the manner specified in section 19 of the Foreign Contribution (Regulation) Act, 2010 (42 of 2010) read with rule 16 of Foreign Contribution (Regulation) Rules 2011.
- (v) The information furnished in this certificate and in the enclosed Balance - Sheet and Statement of Receipt and payment is correct as checked by me/us.

B.R.V. GOUD & CO.
Chartered Accountants

37/1, 1st Floor,
M.N.K.Rao Road, Basavanagudi
BANGALORE-560 004
Ph : 080-26566448
Email: audit@brvgoud.co.in

For B.R.V. GOUD & CO.
CHARTERED ACCOUNTANTS

Signature of Chartered Accountant
with seal, address and Registration number



Form FC - 8
[See rule 17 (4)]

The Secretary to the Government of India
Ministry of Home Affairs
FCRA Wing / Foreigners Division
"NDCC - II Building"
Jai Singh Road, OFF Parliament Street,
New Delhi - 110 011

Subject: Intimation about Foreign Contribution (Securities) Account:

(Description to be provided in the existing format (old) - Rule 80, Foreign Contribution (Regulation) Rules, 1976 refers)

1. Name of Securities: NIL
2. Nominal value of each security: NIL

Date	Name & address of the person for whom received	Distinguishing number of each security	Total of securities	Total nominal value of securities	Particulars of permission of the Reserve Bank of India to acquire or to hold Foreign securities	Particulars of intimation sent to the Central Government	Date	Dividends of interest received	Dates upto which dividend or interest has been received
1	2	3	4	5	6	7	8	9	10
NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL

Details of dividends/interest received


Reference to the Credit entry in the Foreign Contribution Currency account	Date	Name and address of the person to whom sold/transferred	Total No of Securities sold/transferred	distinguishing no. of each security transferred	Total amount for which sold/transferred	Particulars of permission of the RBI to sell/transfer securities	Particulars of intimation sent to the Central Government	Reference to the entry in the Foreign Contribution Currency Account
11	12	13	14	15	16	17	18	19
NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL

DECLARATION

I hereby declare that the above particulars furnished by me are true and correct.



Place : Bangalore
Date : 27.12.2013


 Signature of the Chief Functionary
 (Name of the Chief Functionary in block letters)
 Director
 (Seal of the Association)
 Dr. Aishu Bharadwaj
 Center for Study of Science Technology
 CAIR Building, Raj Bhavan Circle, H.
 BANGALORE-560 001


Certificate To Be Given By Chartered Accountant

I/ we have audited the accounts of CENTRE FOR STUDY OF SCIENCE TECHNOLOGY AND POLICY, Dr Raja Ramanna Complex, Raj Bhavan Circle, High Grounds, Bangalore - 560 001 Karnataka, India. FCRA Reg No: 094421321 (name of Association and its full address including state, District and pin code, if registered society, its registration number and State of Registration), for the year ending 31st March 2012 and examined all relevant books and vouchers and certify that according to the audited accounts :-

- (i) The brought forward investment (s), in securities, at the beginning of the year 2012-2013 was Rs NIL :
- (ii) that further investments (s) in securities made by Association at the end year was worth Rs NIL
- (iii) the total value of investment in securities made by the Association at the end of the year 2012 - 2013 was worth Rs NIL :
- (iv) Certified that the Association has maintained the accounts of foreign contribution and records relating thereto in the manner specified in section 13 of the Foreign Contribution (Regulation) Act, 2010 (42 of 2010) read with sub - rule (1) of rule 8 of Foreign Contribution (Regulation) Rules 2011.
- (v) The information furnished in this certificate and in the enclosed Balance - Sheet and Statement of Receipt and payment is correct as checked by me/us.

Place : Bangalore
Date : 27.12.2013

B.R.V. GOUD & CO.
 Chartered Accountants
 # 37/1, 1st Floor
 M.K.Rao Road, Basavanagudi
 BANGALORE-560 004
 Ph : 080-26566448
 Email: audit@brvgoud.co.in

For B.R.V. GOUD & CO.
 CHARTERED ACCOUNTANTS

 Signature of Chartered Accountant
 with seal, address and Registration number
 M. No - 201105



The Secretary to the Government of India
Ministry of Home Affairs
FCRA Wing / Foreigners Division
"NDCC - II Building"
Jai Singh Road, OFF Parliament Street,
New Delhi - 110 011

Subject: Intimation about Foreign Contribution (Articles) Account:
DESCRIPTION OF THE ARTICLE: Not Applicable

Receipt						Utilization / Disposal									
Date	Name and address of the person from whom received	Mode of receipt	Purpose of receipt	Quantity received	Approximate value of articles received	Date of intimation sent to the Central Government	Date	Name and address of the person to whom issued sold or otherwise transferred	Purpose for which issued or otherwise transferred	Utilised by the Organisation	Sold	Otherwise transferred	if sold then amount for which sold	Reference to entry in the Foreign Contribution (Currency Account)	Balance in Stock
1 NA	2 NA	3 NA	4 NA	5 NA	6 NA	7 NA	8 NA	9 NA	10 NA	11 NA	12 NA	13 NA	14 NA	15 NA	16 NA

DECLARATION

I hereby declare that the above particulars furnished by me are true and correct.



Dr. Anshu Bharadwaj
Director
Center for Study of Science Technology and Policy
CAIR Building, Raj Bhavan Circle, High Grounds
BANGALORE-560 001

Signature of the Chief Functionary
(Name of the Chief Functionary in block letters)
(Seal of the Association)

Certificate To Be Given By Chartered Accountant

I/ We have audited the accounts of CENTRE FOR STUDY OF SCIENCE TECHNOLOGY AND POLICY, Dr Raja Ramanna Complex, Raj Bhavan Circle, High Grounds, Bangalore - 560 001 Karnataka, India. FCRA Reg No: 094421321 (name of Association and its full address including state, District and pin code, if registered society, its registration number and State of Registration), for the year ending 31st March 2012 and examined all relevant books and vouchers and certify that according to the audited accounts :-

- (i) The brought forward foreign contribution, in kind, at the beginning of the year 2012-2013 was Rs NIL ;
- (ii) Foreign contribution, in kind worth Rs NIL was received by the Association during the year 2012-2013.
- (iii) a) The balance of unutilised foreign contribution, in kind, with the Association at the end of the year 31st March 2013 was Rs NIL ;
b) That the whole of foreign contribution received in kind has been utilized, leaving no balance at the end of financial year (strike-out whichever is not applicable) —
- (iv) Certified that the Association has maintained the accounts of foreign contribution and records relating thereto in the manner specified in section 13 of the Foreign Contribution (Regulation) Act, 2010 (42 of 2010) read with sub - rule (8) of Foreign Contribution (Regulation) Rules 2011.
- (v) The information furnished in this certificate and in the enclosed Balance - Sheet and Statement of Receipt and payment is correct as checked by me/us.

B.R.V. GOUD & CO.
Chartered Accountants
3711, 1st Floor,
M.N.K.Rao Road, Basavanagudi
BANGALORE-560 004
Ph : 080-26566448
Email: audit@brvgoud.co.in

For B.R.V. GOUD & CO.
CHARTERED ACCOUNTANTS
Partner
Signature of Chartered Accountant
with seal, address and Registration number
F.M. NO. 201108

Place : Bangalore
Date : 27.12.2013

CENTER FOR STUDY OF SCIENCE TECHNOLOGY AND POLICY
OLD CAIR Building, Raj Bhavan Circle, High Grounds, Bangalore - 560 001
F C R A

CONSOLIDATED RECEIPTS and PAYMENTS ACCOUNT for the Year 01.04.2012 to 31.03.2013

RECEIPTS		AMOUNT	PAYMENTS		AMOUNT
Opening Balance:			Books and Journals	9,78,482	
Cash at Bank	67,53,727	72,57,149	Conference and Workshop	12,49,936	
Fixed Deposits	5,03,422		Project Local and Outstation travel	52,80,549	
Grants Received			Project Salaries & Stipend	4,59,56,618	
Climate Works Foundation	1,37,20,989		Project Staff Training	13,11,154	
Global Green Growth Institute	1,78,16,786		Projects Contingencies and Overheads	45,84,840	
IDRC	2,12,12,276		Projects Equipment and Capital Items	31,46,378	
International Risk Gov Council	1,35,052		Projects Equipment Maintenance	9,38,721	
NGIF	4,20,223		Projects Office Rent and Maintenance	8,02,069	
OAK Foundation	1,25,60,000		TDS Receivables	36,697	
Climate Works Fdn thru SSEF	71,50,000	7,30,15,327	Telephone and Communication	17,12,346	6,59,97,790
FD Interest	-	2,52,278	Closing Balance:		
SB Interest	-	2,61,837	Cash at Bank	20,99,840	
Project Advances	-	43,76,660	Fixed Deposits	1,70,65,621	1,91,65,461
TOTAL		8,51,63,251	TOTAL		8,51,63,251

CONSOLIDATED INCOME and EXPENDITURE ACCOUNT for the Year 01.04.2012 to 31.03.2013

Expenditure		AMOUNT	INCOME		AMOUNT	
Books and Journals	9,78,482	6,80,73,004	Grants Received			
Conference and Workshop	12,49,936		Climate Works Foundation	1,37,20,989		
Project Local and Outstation travel	52,80,549		Global Green Growth Institute	1,78,16,786		
Project Salaries & Stipend	4,59,56,618		IDRC	2,12,12,276		
Project Staff Training	13,11,154		International Risk Gov Council	1,35,052		
Projects Contingencies and Overheads	45,84,840		NGIF	4,20,223		
Projects Equipment Maintenance	9,38,721		OAK Foundation	1,25,60,000		
Projects Office Rent and Maintenance	8,02,069		Climate Works Fdn thru SSEF	71,50,000	7,30,15,327	
Telephone and Communication	17,12,346		FD Interest	-	2,52,278	
Depreciation	52,58,289		SB Interest	-	2,61,837	
Ex of Income over Expenditure			54,56,438			
TOTAL			7,35,29,442	TOTAL		7,35,29,442

BALANCE SHEET AS ON 31.03.2013

LIABILITIES		AMOUNT	ASSETS		AMOUNT
Reserves and Surplus		2,78,89,119	Fixed Assets		
Op Balance Reserves and Surplus	2,24,32,681		Op Bal Computers & Software	86,59,874	
Add: Income & Expenditure Account	54,56,438		Add: Additions During the Year	31,02,018	
			Less: Depreciation	50,39,197	67,22,695
			Op Bal Office Equipment	15,50,722	
			Add: Additions During the Year	44,360	
			Less: Depreciation	1,92,403	14,02,679
			Furniture & Fittings	1,95,714	
			Less: Depreciation	26,690	1,69,024
			Current Assets		
			Project Advances	3,92,563	
			TDS Receivables	36,697	
			Fixed Deposits	1,70,65,621	1,74,94,881
			Closing Balance:		
			Cash at Bank	20,99,840	20,99,840
TOTAL		2,78,89,119	TOTAL		2,78,89,119



Anshu
Dr. Anshu Bharadwaj
 Director
 Center for Study of Science Technology and Policy
 CAIR Building, Raj Bhavan Circle, High Grounds
 BANGALORE - 560 001

For B.R.V. GOUD & CO.
 CHARTERED ACCOUNTANTS
B.R.V. Goud
 Partner